



INVESTMENT MANAGEMENT SERVICES

Discretionary Management Service 1.0% per annum (£1000 Min.) all inclusive plus £50 contract charge

For new business with effect from 1st April 2016

STOCKBROKING SERVICES

Dealing Commission

Equities & ETFs	First	£1,000	£25 UK / £60 Overseas
	Next	£9,000	1.75%
	Next	£40,000	0.5%
	Above	£50,000	0.3%
Fixed Interest	First	£1,000	£25
	Next	£9,000	1%
	Next	£40,000	0.3%
	Next	£50,000	0.2%
	Above	£100,000	0.125%
Unit Trusts & Offshore Funds	Purchases / Sales	Equity rates plus £50 administration charge	

Transaction Charges

	UK	Bonds	Overseas Equities
Nominee / Custodian Delivery Fee	£10	0.075% (£50 Min.)	0.175% (£50 Min.)
Fixed Interest Redemptions		£150	
Certificated Trades	£25 administration charge levied on all certificated trades		
Compliance Charge	£10 levied on all transactions as a contribution towards regulatory compliance		

Nominee & Global Custody

	UK	Bonds	Overseas Equities
Custody Fee per holding per annum (charged quarterly in arrears)	0.05% (£10 Min.)	0.1% (£50 Min.)	0.2% (£50 Min.)
Income Collection	Free	0.75% of Gross Interest (£15 Min. £150 Max.)	2.5% of Gross Dividend (£15 Min. £150 Max.)

Custody Fees are based on market value per holding and are subject to a minimum charge of £25 per quarter

MISCELLANEOUS SERVICES*

Non Market Transfers	£50 UK / £100 Overseas
Corporate Actions	£25 UK / £50 Overseas
Valuation Service	£5 per holding (£50 Min.)
Probate Valuations	0.1% (£100 Min.)
Indemnity Fees	£100 per holding
Duplicate Tax Vouchers/Contract Notes	£10 per document
Global Tax Reporting	£25 per quarter. Applies where income and/or gains are reportable to overseas tax authorities
Foreign Exchange	0.5% (£50 Min.)

*** We reserve the right to charge for any additional services, administration expenses and third party costs incurred including, but not limited to, external verification requests, audit letters and proxy voting. Details available on request.**